

# Assessment of Challenging Behaviors and intervention using Applied Behavior Analysis (ABA)

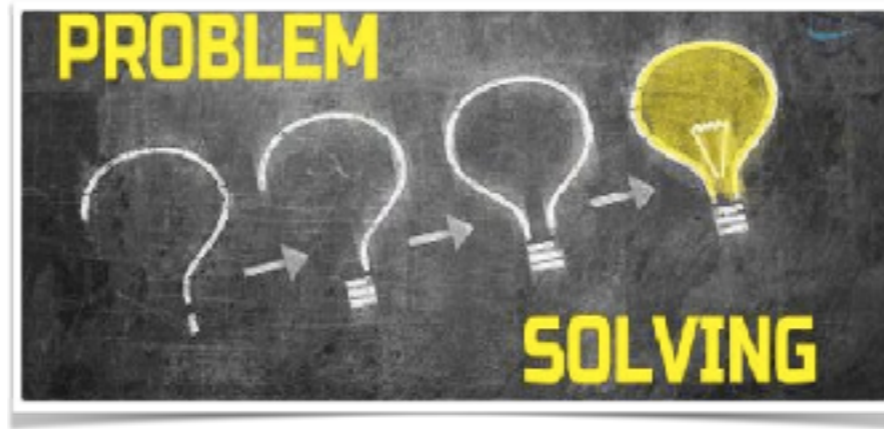
Koknese, Latvia



Līdzfinansē  
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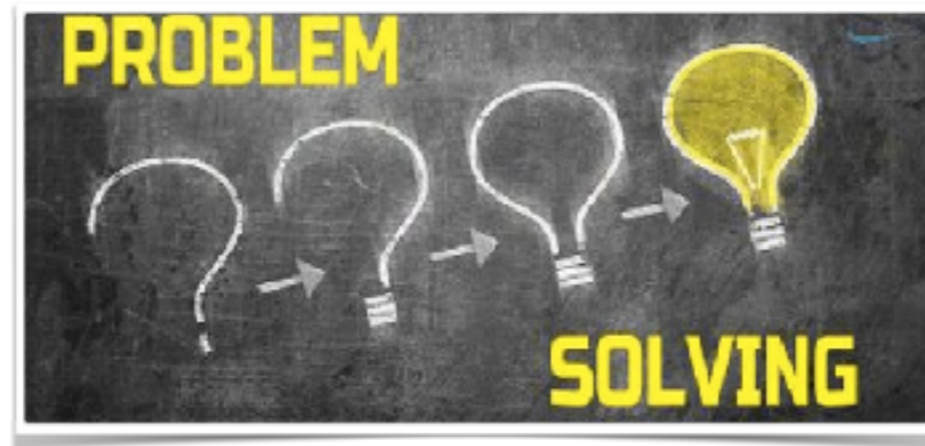
Lorenzo Todone MsEd-BCBA

[lorenzotodone@hohtmail.com](mailto:lorenzotodone@hohtmail.com)



Children with **Intellectual and Developmental Disabilities (IDD)** are at increased risk for problem behavior.

A subset of these individuals develop severe problem behavior which can pose serious and immediate risk for injury and loss of function



Problem Behaviors can take many forms and may include:

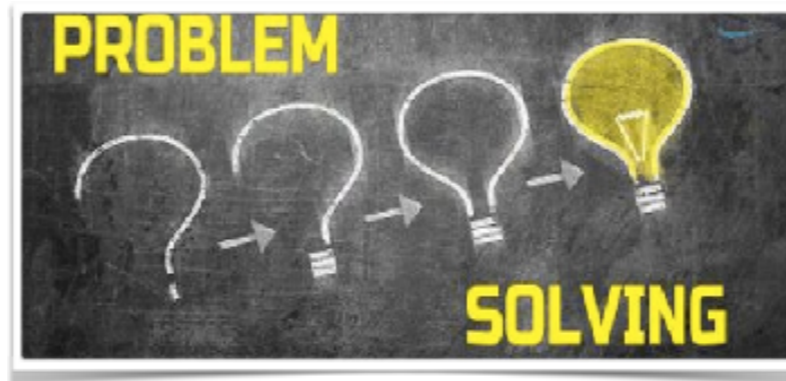
**self-injurious behavior (SIB):** head banging, head-hitting, self-biting, self-scratching.

**aggression toward others:** hitting, kicking, biting, pulling hair, scratching, spitting

**Disruptive behaviors:** temper tantrums, crying, throwing materials, screaming, running away, bolting

**Pica behavior:** ingestion of non-edible items

**Self-stimulation:** motor or vocal stereotypy at high frequency (learning barrier)

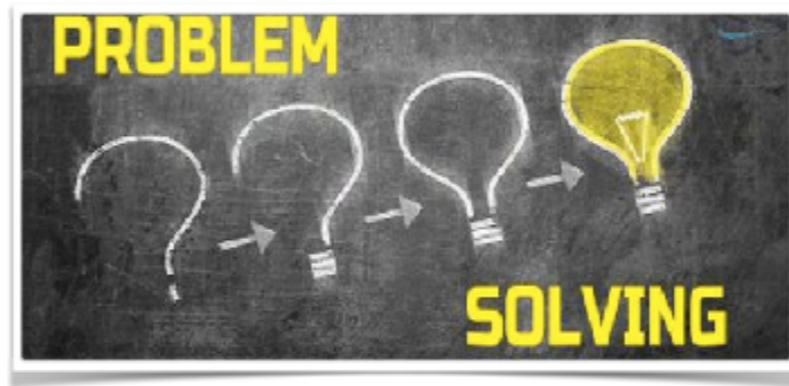


## Video

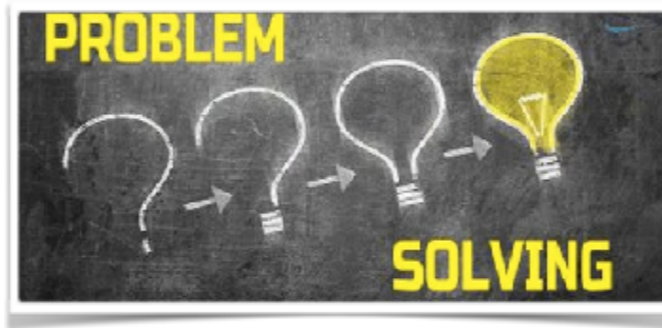
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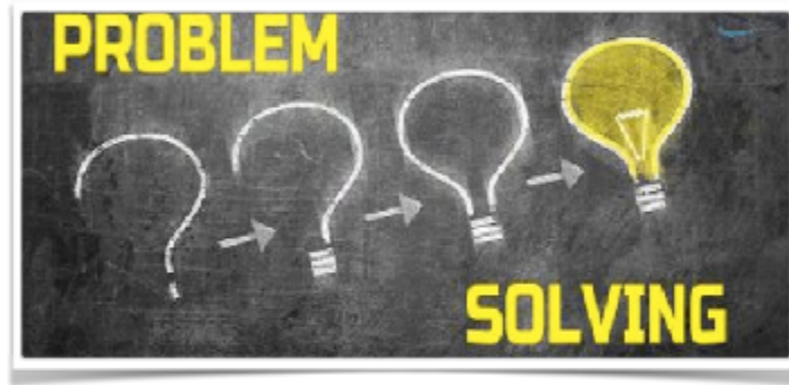
from 1'38"



Estimates vary widely but approximately **50%** of individuals with **IDD** experience *some form of problem behavior*, with a **5-10%** exhibiting *very severe problem behavior* with extreme consequences for families and teachers

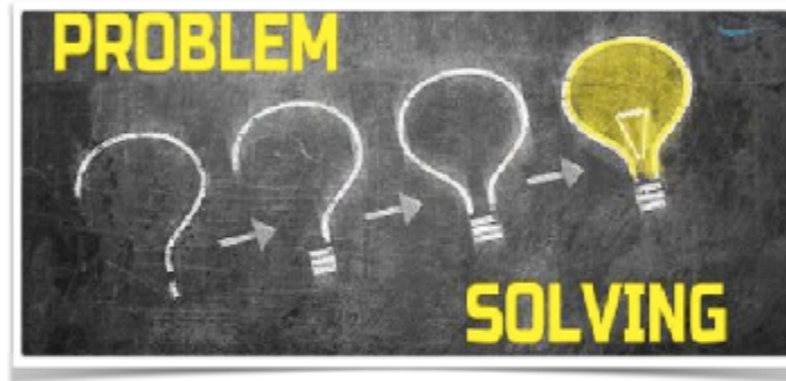


Problem behavior appear to be more common among individuals with **Intellectual Developmental Disorder (IDD)** who also have **Autism Spectrum Disorder**



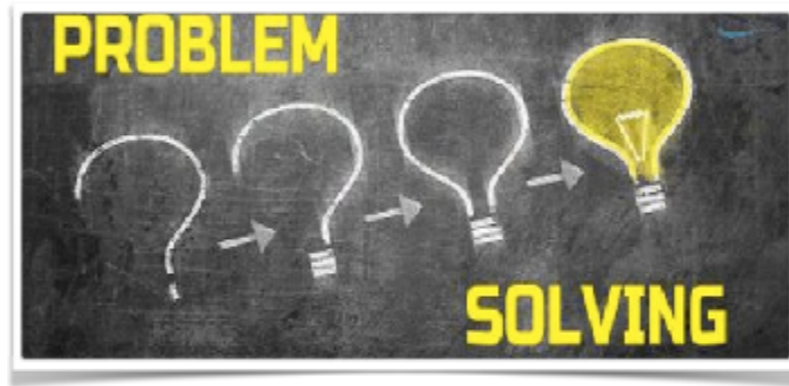
Other known risk factor for problem behavior include:

- greater deficit in intellectual functioning and communication
- the presence of sensory impairments
- repetitive and restrictive behavior and interests
- prenatal factors



- In this population problem behavior is a heterogeneous phenomena.
- Onset of behavior may occur in early childhood or adolescence
- Individuals may present with one type of problem behavior or may engage in multiple forms
- These behaviors can occur from dozens to hundreds of times daily or episodically





- Problem behavior is the product of the **interaction** between **deficits** from IDD and **experiences** that reinforce and strengthen these behaviors
- Deficits in communication, adaptive skills and limited ability to regulate emotions may **increase** frequency and intensity of problem behaviors.

# Problem Behaviors

Problem behavior in most cases

- It is NOT directly caused by the disease, it
- is a consequence of the deficits due to the pathology,
- it is inadvertently shaped by the surrounding environment
- it is sensitive to some factors of change

# Selection of behaviors

The behavioral approach believes that Behaviors are selected or extinguished based on individual's experiences



# Selection of behaviors

The individual:

- keep selecting a behavior if it produce advantages and good consequences in certain context and situations



# Selection of behaviors

The individual:

- stop selecting a behavior if it produce no advantages or harmful consequences in certain context and situations



# Problem Behaviors are like Dinosaurs

From a Behavioral  
prospective **Problem  
Behavior** are like  
ancient species of  
behavior that keep  
finding  
“food” (advantages and  
good consequences  
for the individual)



# Problem behaviors are like Dinosaurs

In children with developmental delays **old species of behavior** (crying, tantruming, screaming) are not naturally replaced by **new species of behavior** (speaking, waiting, listening, collaborating)



# Problem behaviors are like Dinosaurs

If old species of behavior are not replaced during the growth of the individual they may increase in frequency, intensity, duration and new forms





To facilitate the **extinction** of problem behaviors and **the growth of new behavioral species** we need to change their interaction with the environment



In behavioral interventions we give different definitions to problem behaviors

## **Topography:**

Describes the form of the behavior

## **Function:**

It describes the function of the behavior or its effect on the environment



(Irvin et al., 1998)

# The Potential Functions of a Behavior: What Can It Be Used For?

1. The Behavior allows access to games, materials, activities, attention of people (even in negative form of reprimands)
2. The Behavior allows to remove unwanted events: undesired task or activities, undesired person or environment
3. Behavior allows access to sensory pleasure: Flicker for the visual pleasure it produces
4. Behavior allows to remove or alleviate internal pain or discomfort: biting to relieve a stomach ache

# Why it is so important to identify the function of a Problem Behavior?

Hundreds of studies have shown the efficacy of treatments for problem behavior based on an understanding of its function

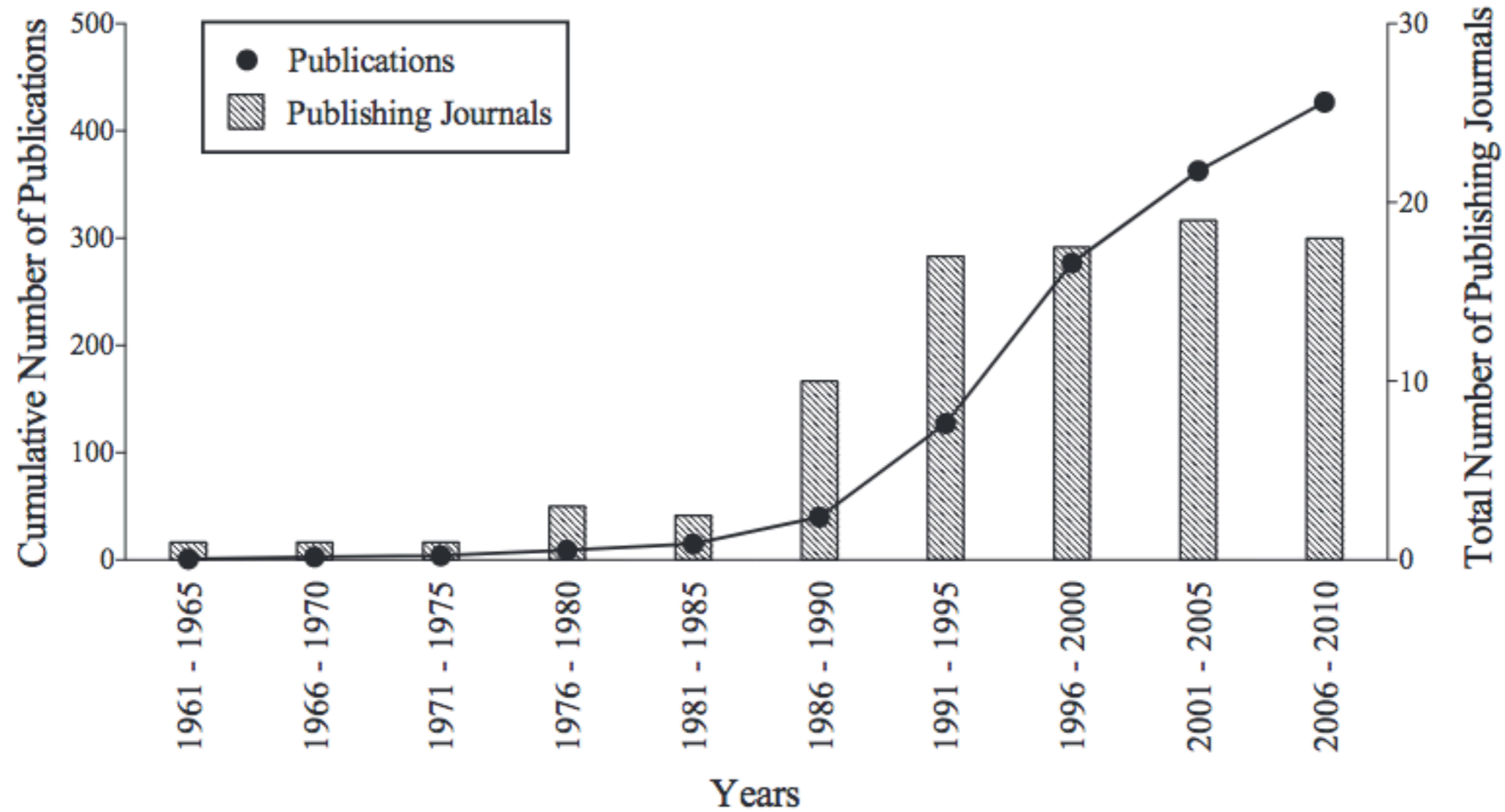


Figure 1. Cumulative number of publications and total number of publishing journals across intervals of 5 years. Data from 1961 to 2000 were obtained from Hanley et al. (2003).

# In summary what we must evaluate before intervening:

What does the person get when he emits that behavior within that context?

1. Get something - attention of adults or peers - activities, games, food or other
2. Avoid something - attention of adults or peers - activity, task, unwelcome food, sensations
3. Gets Self-stimulation or reduction of pain

# Uzmanību!!!!

- 1. MULTI-FUNCTIONALITY** (the same behavior can have more functions)
- 2. MOVING THE FUNCTION** (a behavior can change function)

What to do?



# Functional Behavior Assessment (FBA)

Functional behavioral assessment is known as the **best approach** to precisely identify events in the environment:

- presently occasion problem behavior (antecedents)
- the reinforcers that strengthen and maintain those behaviors (consequences)



# Functional Behavior Assessment (FBA)

FA is a set of procedures used to identify the cause of maladaptive behaviours or socially inappropriate behaviours and reduce it through teaching replacement behaviour instead of suppressing it through punishment.

Within functional assessment methodology the causes are sought in the **immediate environmental variables** and **learning history of the individual**.



# Functional Behavior Assessment (FBA)

Causes of the maladaptive behaviours based upon intrapsychic variables or psychodynamic processes are given little attention.

The outcome of the FA is a historical analysis of how a person learned the maladaptive behaviour (causes) and how it is presently supported or maintained in the current learning environment.



# Functional Behavior Assessment (FBA)

Once identified knowledge of these controlling events can inform the development of individualized behaviour intervention

Such knowledge can also contribute to identify other elements that should be targeted with: **pharmacologic interventions, including emotions dysregulation, irritability, hyperactivity and so forth**



## Functions that problem behaviour can serve

**Positive Reinforcement**  
*“getting something: attention or access to tangible stimuli”*



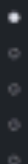
**Positive Reinforcement**  
*“automatic, sensory stimulation”*



**Negative Reinforcement**  
*“getting out of something: escape of difficult tasks”*

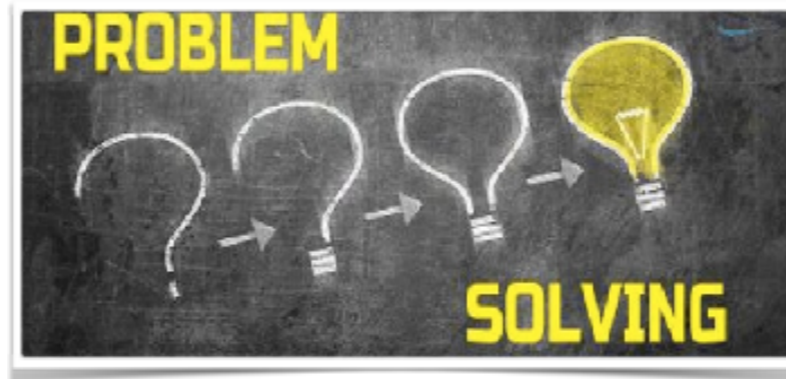


**Negative Reinforcement**  
*“escape from aversive stimulation”*



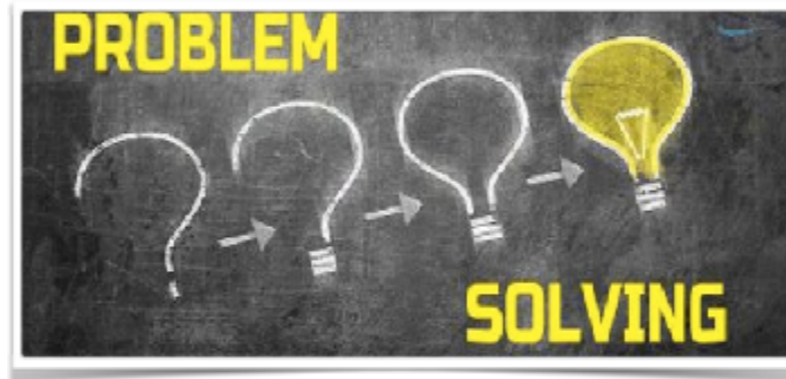
# Examples

Function	Definition	Example
Attention	Behavior produces attention from peers or adults, including negative attention like reprimands	When Kara talks out during class, the teacher reprimands her and other students laugh
Escape	Behavior results in escaping an undesirable task	When Joe kicks or hits during class, he gets sent to the office, where he avoids or delays doing classwork
Tangible	Behavior results in obtaining an object	When Amy has a tantrum, other students give her the preferred school supplies
Sensory/ Automatic	Behavior results in sensory input that is desirable to the student	Zack drums on his desk during class, during lunch, and even when he is alone, because he likes the way it sounds



## Attention Seeking

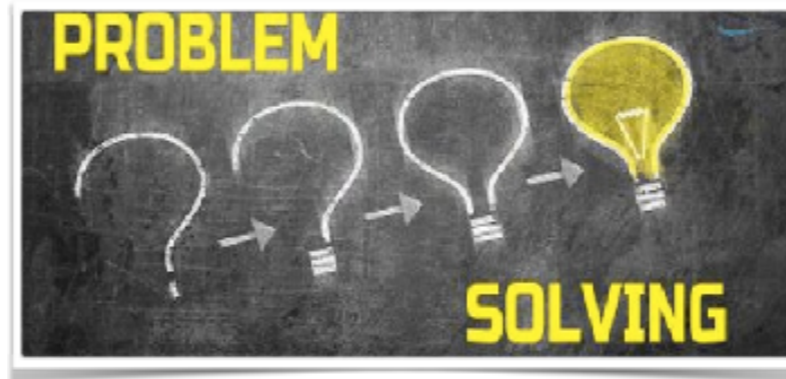
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**Avoidance/Escape**

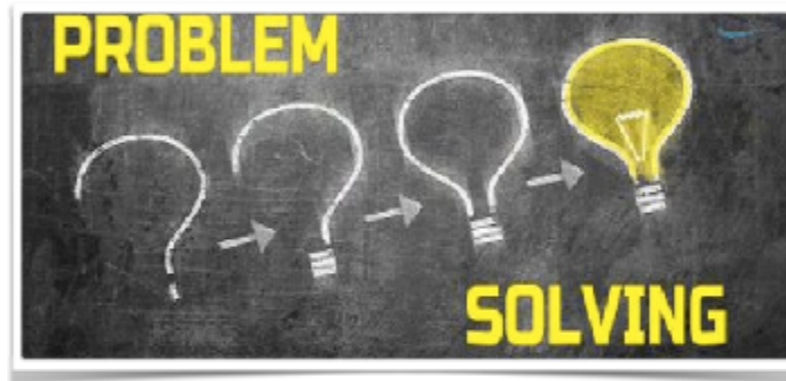
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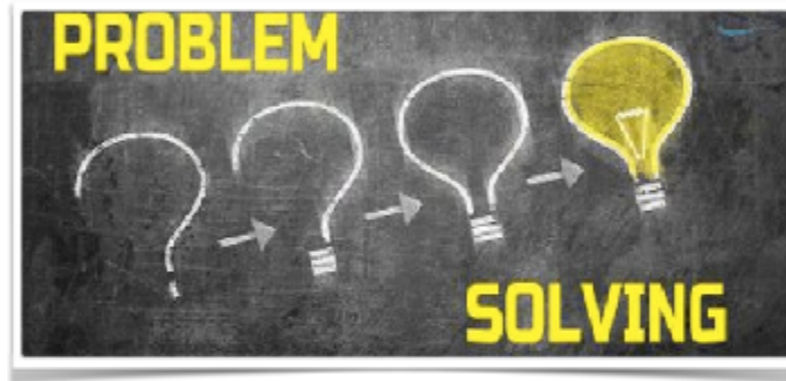
## Access to Tangible

<https://www.youtube.com/watch?v=HK6CwkbEzgg>



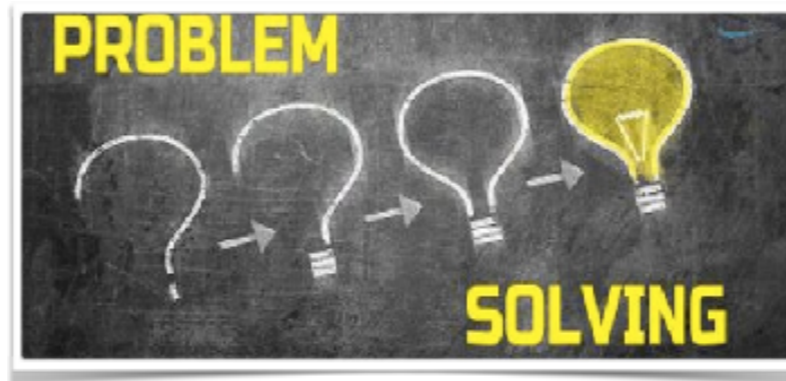
## Access to Tangible

<https://www.youtube.com/watch?v=I9CT-RYlifE&t=32s>



**Access to Tangible**

<https://www.youtube.com/watch?v=7DnB8vFfLDU>



## Self stimulatory Behavior

<https://www.youtube.com/watch?v=4ALy6l1J1uo>

# The Value of Functional Assessment

**Why it is important that teachers, educators and parents use FA?**

1

## Function versus Topography

In the field of education many practitioners choose intervention or treatment based upon topography or form of the behaviour instead of the **Side effects when topography is the focus**

2

As a results some recommended intervention actually strengthen the maladaptive behaviour instead of

3

**Function based intervention demonstrate benefits**  
 Instead, a FA leads to a classification of the maladaptive behaviour by its function (cause) and then selection of treatments or interventions which are effective in reducing behaviour in the specific functional category identified

# The Value of Functional Assessment

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**Why it is important that teachers, educators and parents use FA?**

4

## **Default Intervention**

Conducting Functional Assessment and understanding why a behavior is occurring decreases reliance on default interventions, especially punished-based

5

## **Awareness**

Understanding why a behavior occurs suggests how it can be changed



# How to conduct Functional Behavioral Assessment?

# Phases of Functional Behavior Assessment

1. Indirect screening phase (information gathering, interview with the family, checklist)
2. Direct Assessment. Direct observation of behaviour under natural occurring conditions to identify what the behavior serves for
3. Treatment
4. Monitoring





# 1. Indirect Functional Behavior Assessment

Indirect functional assessment means that student or child is not observed directly:

- structured interviews
- checklists
- rating scales
- questionnaires

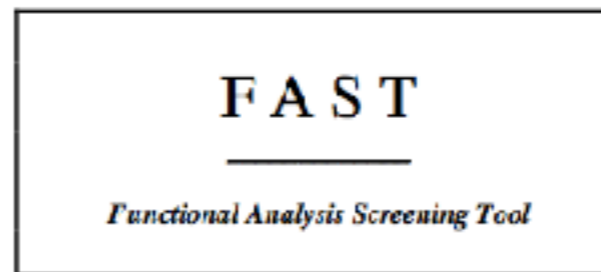
# 1. Indirect Functional Behavior Assessment

- to obtain information from persons who are familiar with the person exhibiting the problem behavior (e.g., teachers, parents, caregivers, and/or the individual him- or herself)
- to identify possible conditions or events in the natural environment that correlate with the problem behavior.

# 1. Indirect Functional Behavior Assessment

## Functional Analysis Screening Tool (FAST)

Iwata & DeLeon 1996



Client: \_\_\_\_\_ Date: \_\_\_\_\_

Informant: \_\_\_\_\_ Interviewer: \_\_\_\_\_

*To the Interviewer:* The FAST identifies factors that may influence problem behaviors. Use it only for screening as part of a comprehensive functional analysis of the behavior. Administer the FAST to several individuals who interact with the client frequently. Then use the results to guide direct observation in several different situations to verify suspected behavioral functions and to identify other factors that may influence the problem behavior.

*To the Informant:* Complete the sections below. Then read each question carefully and answer it by circling "Yes" or "No." If you are uncertain about an answer, circle "N/A."

### Informant-Client Relationship

1. Indicate your relationship to the person: \_\_\_Parent \_\_\_Instructor  
 \_\_\_Therapist/Residential Staff \_\_\_(Other)
2. How long have you known the person? \_\_\_Years \_\_\_Months
3. Do you interact with the person daily? \_\_\_Yes \_\_\_No
4. In what situations do you usually interact with the person?  
 \_\_\_Meals \_\_\_Academic training  
 \_\_\_Leisure \_\_\_Work or vocational training  
 \_\_\_Self-care \_\_\_(Other)

### Problem Behavior Information

1. Problem behavior (check and describe):  
 \_\_\_Aggression \_\_\_\_\_  
 \_\_\_Self-injury \_\_\_\_\_  
 \_\_\_Stereotypy \_\_\_\_\_  
 \_\_\_Property destruction \_\_\_\_\_  
 \_\_\_Other \_\_\_\_\_
2. Frequency: \_\_\_Hourly \_\_\_Daily \_\_\_Weekly \_\_\_Less often
3. Severity: \_\_\_Mild: Disruptive but little risk to property or health  
 \_\_\_Moderate: Property damage or minor injury  
 \_\_\_Severe: Significant threat to health or safety
4. Situations in which the problem behavior is most likely to occur:  
 Days/Times \_\_\_\_\_  
 Settings/Activities \_\_\_\_\_  
 Persons present \_\_\_\_\_
5. Situations in which the problem behavior is least likely to occur:  
 Days/Times \_\_\_\_\_  
 Settings/Activities \_\_\_\_\_  
 Persons present \_\_\_\_\_
6. What is usually happening to the person right before the problem behavior occurs?  
 \_\_\_\_\_  
 \_\_\_\_\_
7. What usually happens to the person right after the problem behavior occurs?  
 \_\_\_\_\_  
 \_\_\_\_\_
8. Current treatments  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

1. Does the problem behavior occur when the person is not receiving attention or when caregivers are paying attention to someone else?	Yes	No	N/A
2. Does the problem behavior occur when the person's requests for preferred items or activities are denied or when these are taken away?	Yes	No	N/A
3. When the problem behavior occurs, do caregivers usually try to calm the person down or involve the person in preferred activities?	Yes	No	N/A
4. Is the person usually well behaved when (s)he is getting lots of attention or when preferred activities are freely available?	Yes	No	N/A
5. Does the person usually fuss or resist when (s)he is asked to perform a task or to participate in activities?	Yes	No	N/A
6. Does the problem behavior occur when the person is asked to perform a task or to participate in activities?	Yes	No	N/A
7. If the problem behavior occurs while tasks are being presented, is the person usually given a "break" from tasks?	Yes	No	N/A
8. Is the person usually well behaved when (s)he is not required to do anything?	Yes	No	N/A
9. Does the problem behavior occur even when no one is nearby or watching?	Yes	No	N/A
10. Does the person engage in the problem behavior even when leisure activities are available?	Yes	No	N/A
11. Does the problem behavior appear to be a form of "self-stimulation"?	Yes	No	N/A
12. Is the problem behavior less likely to occur when sensory stimulating activities are presented?	Yes	No	N/A
13. Is the problem behavior cyclical, occurring for several days and then stopping?	Yes	No	N/A
14. Does the person have recurring painful conditions such as ear infections or allergies? If so, list: _____	Yes	No	N/A
15. Is the problem behavior more likely to occur when the person is ill?	Yes	No	N/A
16. If the person is experiencing physical problems, and these are treated, does the problem behavior usually go away?	Yes	No	N/A

### Scoring Summary

Circle the number of each question that was answered "Yes" and enter the number of items that were circled in the "Total" column.

Items Circled "Yes"	Total	Potential Source of Reinforcement
1 2 3 4	___	Social (attention/preferred items)
5 6 7 8	___	Social (escape from tasks/activities)
9 10 11 12	___	Automatic (sensory stimulation)
13 14 15 16	___	Automatic (pain attenuation)

# 1. Indirect Functional Behavior Assessment

## Motivation Assessment Scale

Durand & Crimmins  
1992

### MOTIVATION ASSESSMENT SCALE

Name: \_\_\_\_\_ Rater: \_\_\_\_\_ Date: \_\_\_\_\_

Description of Behavior (be specific): \_\_\_\_\_

Instructors: The MAS is a questionnaire designed to identify those situations where an individual is likely to behave in specific ways. From this information, more informed decisions can be made about the selections of appropriate replacement behaviors. To complete the MAS, select one behavior of specific interest. Be specific about the behavior. For example "is aggressive" is not as good a description as "hits other people." Once you have specified the behavior to be rated, read each question carefully and circle the one number that best describes your observations of this behavior.

Questions	Never 0	Almost Never 1	Seldom 2	Half the Time 3	Usually 4	Almost Always 5	Always 6
1. Would the behavior occur continuously if this person was left alone for long periods of time?							
2. Does the behavior occur following a request to perform a difficult task?							
3. Does the behavior seem to occur in response to your talking to other persons in the room/area?							
4. Does the behavior ever occur to get a toy, food, or an activity that this person has been told he/she can't have?							
5. Would the behavior occur repeatedly, in the same way, for long periods of time if the person was alone? (e.g. rocking back and forth for over an hour.)							
6. Does the behavior occur when any request is made of this person?							
7. Does the behavior occur whenever you stop attending to this person?							
8. Does the behavior occur when you take away a favorite food, toy or activity?							
9. Does it appear to you that the person enjoys doing the behavior? (It feels, tastes, looks, smells, sounds pleasing).							
10. Does this person seem to do the behavior to upset or annoy you when you are trying to get him/her to do what you ask?							
<i>Go to next page</i>							

# 1. Indirect Functional Behavior Assessment

## Advantages and limitations of Indirect FBA

### Advantages

- Useful first screening before getting more objective assessment and idea of possible function of behavior

### Limitations

- Parent or teacher account may not be reliable and may be biased

# 2. Direct Functional Behavioral Assessment

- Direct functional behavior assessment means direct observation of behavior of student or child
- Observations are made under naturally occurring conditions
- Direct assessments involve observation of the problem behavior in relation to events occurring in natural environment

# 2. Direct Functional Behavioral Assessment

- Events that are shown to have a high degree of correlation with the target behavior may suggest hypotheses about behavioral function.

## 2. Direct Functional Behavioral Assessment

### **ABC Narrative recording**

Narrative recording is a form of descriptive assessment

- (a) data are collected only when behaviors of interest are observed
- (b) the recording is open-ended.



# 2. Direct Functional Behavioral Assessment

## ABC CHART

Student Name: \_\_\_\_\_ School: \_\_\_\_\_ Grade: \_\_\_\_\_ Observer(s): \_\_\_\_\_

**Instructions:** For each instance of behavior, record the context of the behavior (date, time, designated activity, people involved, location, etc.). Also, briefly describe the antecedent, behavior, and consequence. Based on the observation of the behavior, determine a possible function of the behavior (e.g., seek attention, escape/avoid task, leave an area or person, access a preferred item, sensory consequence, avoid interruptions, communication pain/illness, etc.).

	<b>Antecedent: What happened immediately before the behavior?</b>	<b>Behavior: Describe the behavior in observable terms (e.g., kicked a peer)</b>	<b>Consequence: What happened immediately after the behavior?</b>	<b>Possible Function: What is the "payoff"? Why is the behavior happening?</b>
<b>Date:</b> <b>Time:</b> <b>Activity:</b> <b>People:</b> <b>Place:</b>				
<b>Date:</b> <b>Time:</b> <b>Activity:</b> <b>People:</b> <b>Place:</b>				
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# Direct Functional Behaviour Assessment

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Given a set of descriptive data, interpret the data to form a hypothesis regarding the possible function of problem behavior.

## Antecedent

Pietro is prompted to wash his hands, before lunch...

## Behavior

Pietro screams and tantrums

## Consequence

Termination of washing hands and sent to his room

**Hypothesized function: escape**

# Direct Functional Behaviour Assessment

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Given a set of descriptive data, interpret the data to form a hypothesis regarding the possible function of problem behavior.

## Antecedent

David is in his room alone in front of the window

## Behavior

David moves continuously his hands in front of eyes

## Consequence

No consequences

**Hypothesized function: automatic reinforcement/self stimulation**

# Direct Functional Behaviour Assessment

52

Given a set of descriptive data, interpret the data to form a hypothesis regarding the possible function of problem behavior.

## Antecedent

Sara is in the kitchen while her mom is talking on the phone

## Behavior

Sara starts spitting on the table

## Consequence

Sara's Mom hangs up the phone and tells her to stop immediately

**Hypothesized function: attention seeking**

# Direct Functional Behaviour Assessment

53

Given a set of descriptive data, interpret the data to form a hypothesis regarding the possible function of problem behavior.

## Antecedent

Mom is helping Neri's brother to do his homework

## Behavior

Neri walks in and start to jump and scream

## Consequence

Mom gives Neri 5 cookies and Neri walks back to his room with cookies

**Hypothesized function: access to food**

# 2. Direct Functional Behavior Assessment

## Advantages and limitations of direct FBA

### Advantages

- Useful information regarding environmental events and problem behavior in natural context
- Individual's routine is not interrupted
- Clear definition of environmental variable and behavior
- Does not require direct observation

### Limitations

- Observers may report states rather than events

# 3. Treatment according to function

When the function of the target behavior has been identified, intervention different intervention can be implemented:

- Altering Antecedents Variables
- Altering Consequent Variables
- Teaching Alternative Behaviors

# Interventions on problem behaviors are generally divided into 3 categories:

## **1. Antecedent interventions:**

- environmental conditions prior to the problem behavior are modified or altered

## **2. Intervention to teach Alternative Behaviors :**

- behaviors that can replace problem behavior are taught (training)

## **3. Consequence Interventions:**

- the consequences that are believed to maintain the problem behavior are modified to minimize the chances of it being further reinforced



## Prevention Strategy Altering Antecedents Variables

By Altering Antecedents Variables the goal is to prevent the problem behavior

### Some Examples

- Free access to desired items
- Give often breaks from undesired activity
- Frequent Positive Attention
- Immediate help during difficult task
- Make teaching environment fun
- Make yourself fun
- Let the student choose the activity
- Let the student self stimulate in regulated areas and moments

# Antecedent Intervention and Change in the Environment

Factors that can affect a person's behavior and represent potential Antecedent Intervention:

Physiological conditions,

Physical aspects of the environment (e.g., lighting, seating arrangements, noise level),

Interactions with others,

Home environment,

Past reinforcement history.

Spatial Density

Seating Arrangement

Noise

Student-Student Interaction

Classroom Lighting

Teacher-Student Interaction

Home Environment

Reinforcement History

# Teaching Strategy

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## Teaching Alternative Behaviors

By teaching Alternative Behaviors students can express or reach their needs through new species of appropriate behaviors

### Some Examples

Teach Student how to request desired item or activity

Teach Student how to request for attention

Teach Student how to request for a break from undesired activity

Teach a student new and different ways of having fun

Teach a student that collaboration is an advantage

Teach a student to wait

Teach a student to express emotions

Teach a student to express unpleasant feelings

## Altering Consequent Variables Changing Consequence Strategy

60

Change the consequences produced by the problem behavior  
Some Examples

Don't give attention to student when he is seeking attention through problem behavior

Don't give to student what he/she wants when trying to get it through problem

Don't give a break to student when he is trying to get it through problem behavior

Don't make the task easier when students presents problem behavior

Don't offer privilege, toys or activities to student when problem behavior is present

If necessary ask peers to ignore student's problem behavior

If necessary ask other school professional to ignore problem behavior

Be ready and organised to deal with problem behavior without reinforcing it

An intervention can be a combination of strategy<sub>61</sub>

## **Problem Behavior: student is spitting to get attention from teacher**

### **Prevention Strategy**

- increasing environmental stimulation
- give frequent attention to student

### **Teaching Strategy**

- Teach student how to request for attention appropriately

### **Consequence Strategy**

- Ignore problem behavior and don't give attention (wear a sea mask!)
- give attention immediately when asked appropriately

An intervention can be a combination of strategy<sup>62</sup>

**Problem Behavior: student is screaming and hitting when given an activity he does not like**

### **Prevention Strategy**

- make the activity more fun
- give immediate help during activity
- make activity easier and shorter

### **Teaching Strategy**

- Teach student how to request for a break from activity
- Teach student that completing the activity is an advantage

### **Consequence Strategy**

- Ignore problem behavior and don't give a break from activity
- give immediately a break when asked appropriately



# Problem Behavior:

Antecedent	Behavior	Consequence
Mum is present Computer does not work and Mum is needed Possible frustration feeling	G. says “bad/offensive words” to Mum	Mum says I don’t like what you say Mum eventually helps
<b>Proactive Intervention</b> Give hime passwords for computer (not possible)	<b>Teaching Alternative Behavior</b> “mum please help” Improve waiting skill	<b>Reactive Intervention</b> “MUM please help” immediate help from MUM “bad word” Mum leaves the room

# 4. Monitoring intervention (change, continue, suspende)

**Problem Behavior is defined in objective and measurable terms:**

- Hitting (others or self)
- Pinching (others or self)
- Crying
- Spitting
- Mouth Object
- Screaming
- Hair pulling (others or self)

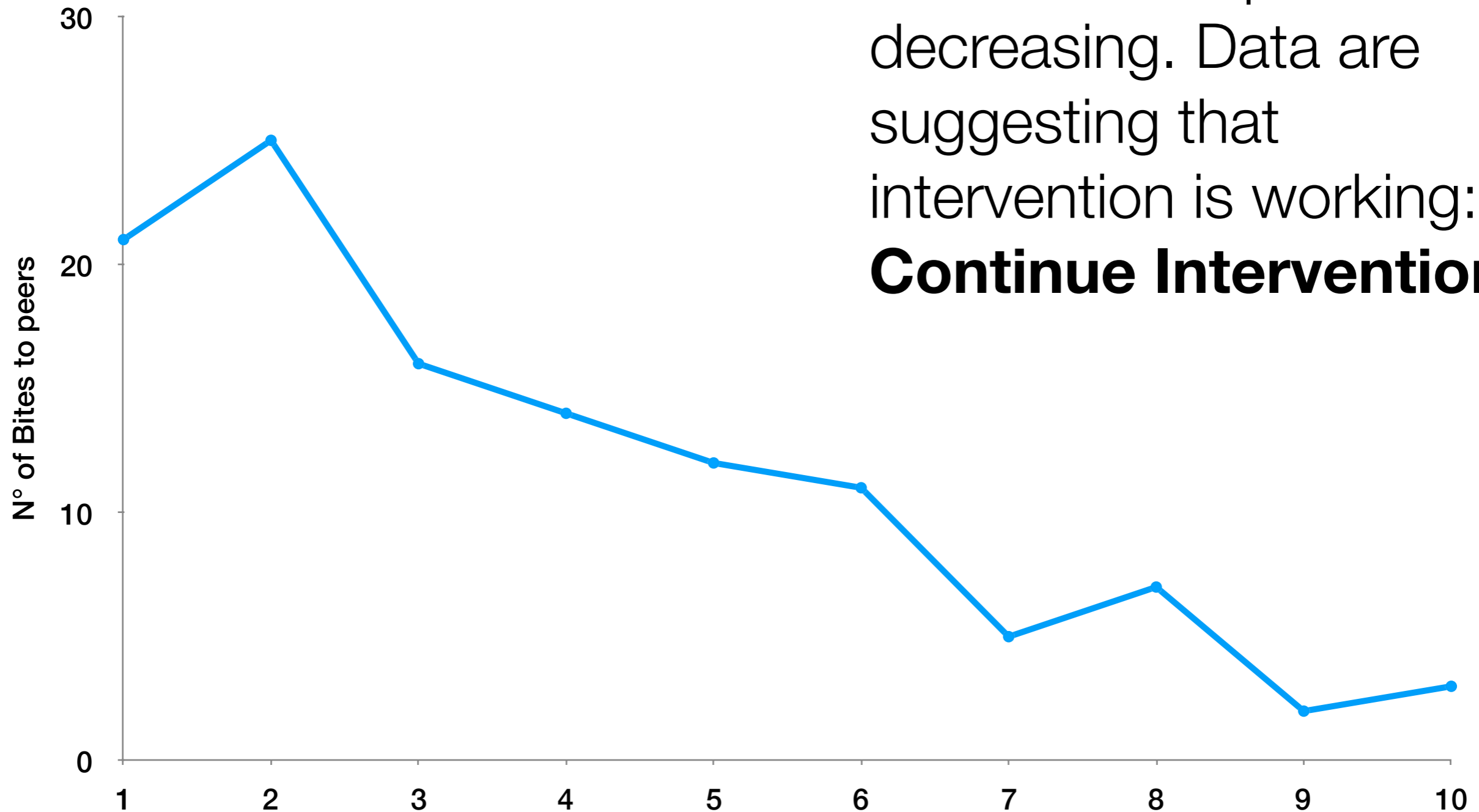


# 4. Monitoring intervention: data base decision to continue or change

Problem Behavior is measured in one of its dimension. Most common Dimensions measures are **Frequency or Duration:**

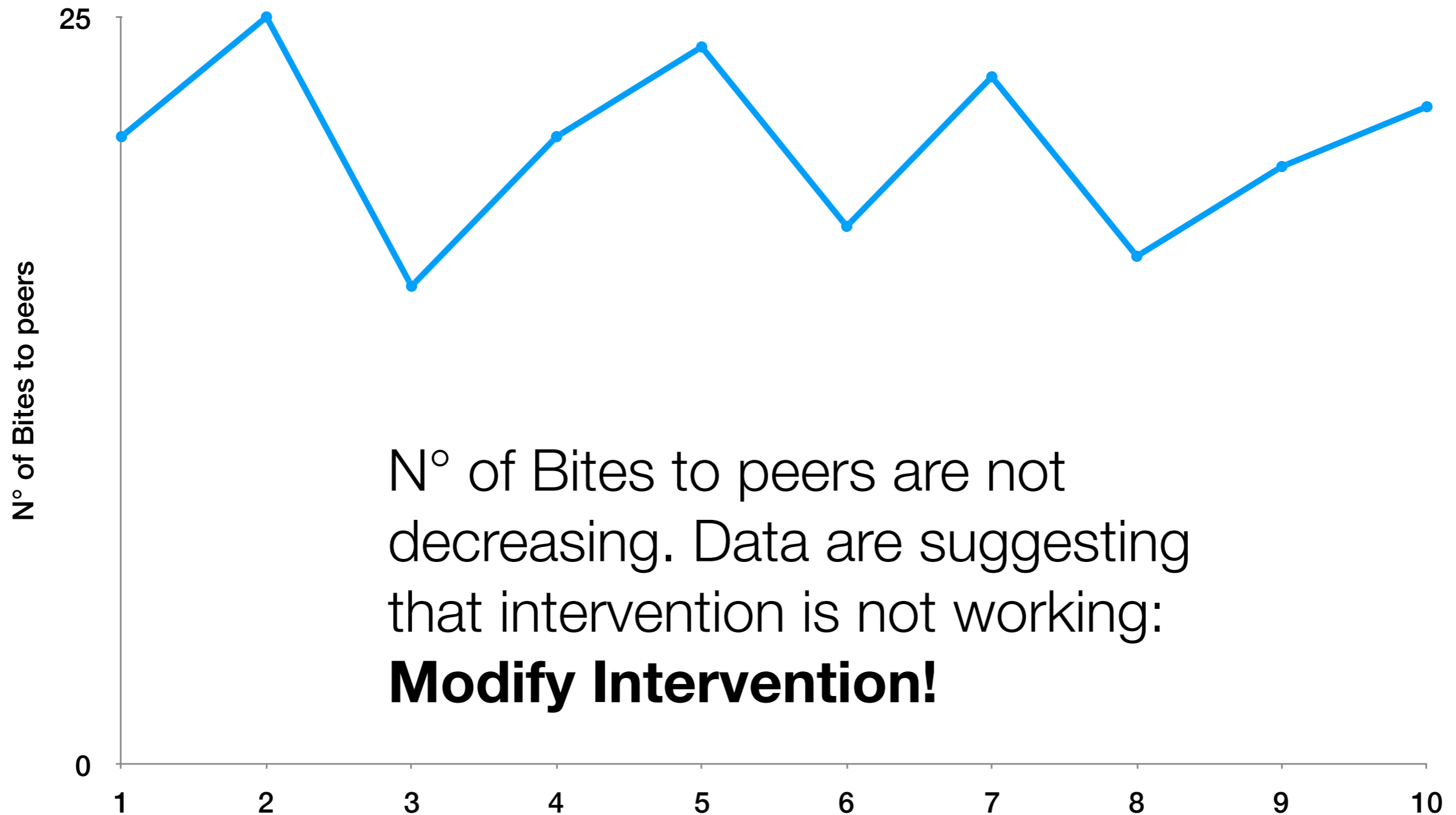
- **Frequency:** n° of events per unit of time of observation
- **Duration:** total duration of problem behavior during unit time of observation

# 4. Monitoring intervention : data based decision to continue or change

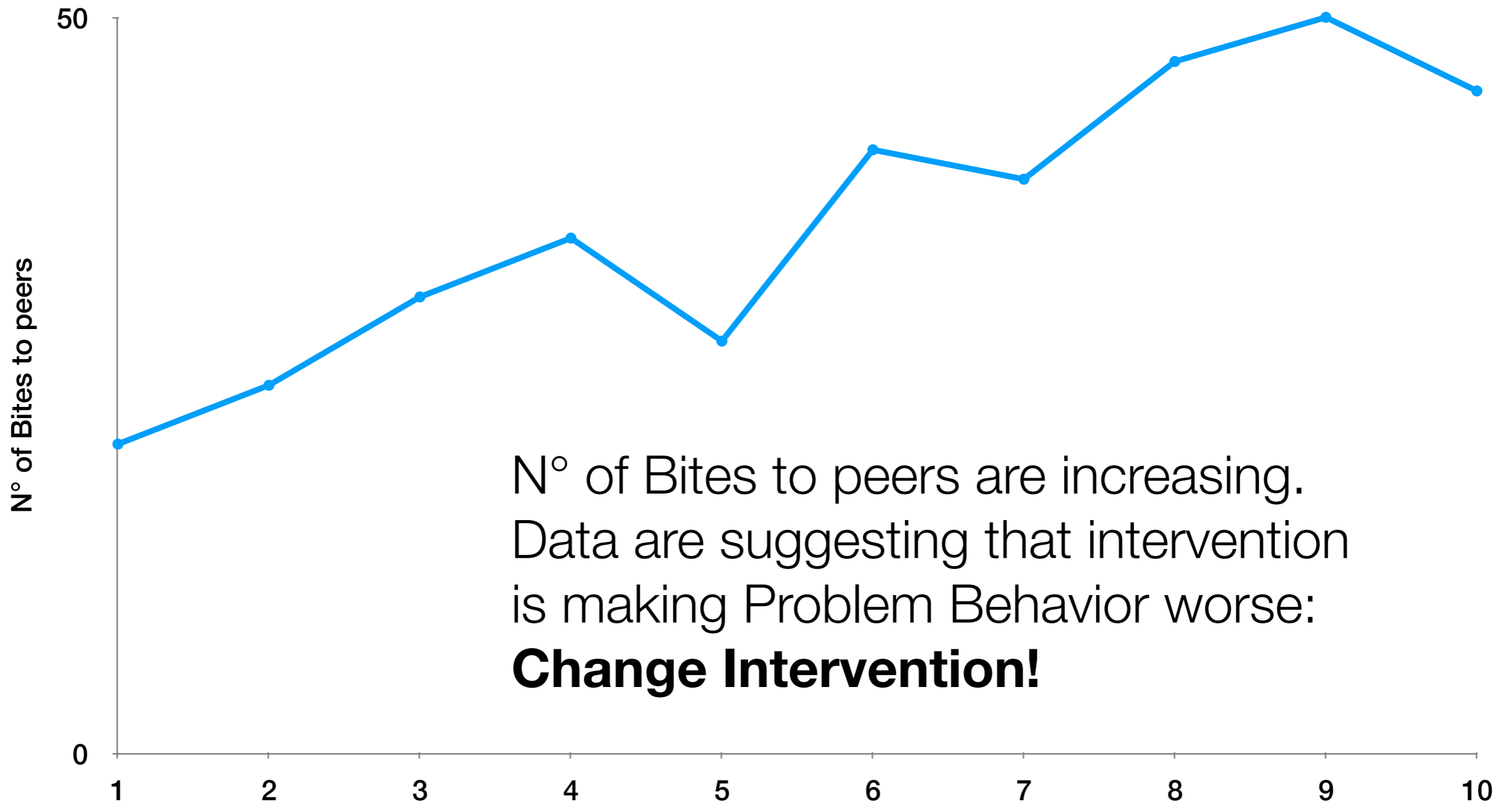


N° of Bites to peers are decreasing. Data are suggesting that intervention is working:  
**Continue Intervention!**

# 4. Monitoring intervention : data base decision to continue or change



# 4. Monitoring intervention : data base decision to continue or change



## Step 1 Data Collection

- Topographical definition
- Data recording (baseline)
- Behavioral Interview (FAST)
- Direct Observation (ABC recording to identify possible function)

 DATA


 Analyze Data

## Step 2 Analyze the Data

- Sort ABC data by antecedent and consequence to identify suspected function
- Graph baseline data (frequency, duration, intensity, intervals..)

## Step 3 Behavior Plan Development

- Select treatments based upon suspected function
- Develop treatments based on suspected function

 Develop plan

 Treatment and evaluate

## STEP 4 Implement treatment and evaluate effectiveness

- Take data and graph data to evaluate changes in behaviour trend

